

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**

PCT

EP 0553242
WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification ⁵ : A61B 17/39</p>	<p>A1</p>	<p>(11) International Publication Number: WO 92/06642 (43) International Publication Date: 30 April 1992 (30.04.92)</p>
<p>(21) International Application Number: PCT/US91/07725 (22) International Filing Date: 15 October 1991 (15.10.91) (30) Priority data: 599,144 17 October 1990 (17.10.90) US (71) Applicant: BOSTON SCIENTIFIC CORPORATION (US/US); 480 Pleasant Street, Watertown, MA 02172 (US). (72) Inventors: ABELE, John, E. ; 101 Fairhaven Hill, Concord, MA 01742 (US). DANIELS, Douglas, J. ; 15 Pudding Stone Lane, Mendon, MA 01756 (US). ROBINSON, Donald, E. ; 9 Ursula Drive, Hopkinton, MA 01748 (US). THISTLE, Stephen, R. ; 60 Hughey Road, Scituate, MA 02066 (US).</p>		<p>(74) Agent: WILLIAMS, John, N.; Fish & Richardson, 225 Franklin Street, Boston, MA 02110-2804 (US). (81) Designated States: AT (European patent), BE (European patent), CA, CH (European patent), DE (European patent), DK (European patent), ES (European patent), FR (European patent), GB (European patent), GR (European patent), IT (European patent), JP, LU (European patent), NL (European patent), SE (European patent). Published <i>With international search report.</i> <i>With amended claims.</i></p>
<p>(54) Title: SURGICAL INSTRUMENT AND METHOD</p> <div data-bbox="479 1186 1161 1585" data-label="Diagram"> </div> <p>(57) Abstract</p> <p>A surgical instrument (10) useful for laparoscopic surgery comprising an insulated shaft (12), a control device (14) and a connection (30) to an electrosurgical radiofrequency power source (31), its distal end being of general J-hook form (16) having a tissue-engaging ledge (62), and the shaft supporting a tissue-engaging clamping (54) or cutting (56) member movable toward the ledge (62) in response to the control device (14) so that an artery or other tissue in the pocket of the J-hook can be clamped or cut while radiofrequency current is applied. Instrument constructions incorporate one or two clamping members (54) and a cutting member (56) such that with actuation, coagulation current can be applied while clamping an artery or other tissue against the ledge (62) to stop flow of blood, and by further actuation of suitable current to the cutting member (56), the artery or tissue may be cut. A diamond film on tissue-engaging surfaces of the instrument (10) improves heat transfer.</p>		

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	ES	Spain	MG	Madagascar
AU	Australia	FI	Finland	ML	Mali
BB	Barbados	FR	France	MN	Mongolia
BE	Belgium	GA	Gabon	MR	Mauritania
BF	Burkina Faso	GB	United Kingdom	MW	Malawi
BG	Bulgaria	GN	Guinea	NL	Netherlands
BJ	Benin	GR	Greece	NO	Norway
BR	Brazil	HU	Hungary	PL	Poland
CA	Canada	IT	Italy	RO	Romania
CF	Central African Republic	JP	Japan	SD	Sudan
CG	Congo	KP	Democratic People's Republic of Korea	SE	Sweden
CH	Switzerland	KR	Republic of Korea	SN	Senegal
CI	Côte d'Ivoire	LI	Liechtenstein	SU ⁺	Soviet Union
CM	Cameroon	LK	Sri Lanka	TD	Chad
CS	Czechoslovakia	LU	Luxembourg	TG	Togo
DE ⁺	Germany	MC	Monaco	US	United States of America
DK	Denmark				

⁺ Any designation of "SU" has effect in the Russian Federation. It is not yet known whether any such designation has effect in other States of the former Soviet Union.

SURGICAL INSTRUMENT AND METHOD**Background of the Invention**

The invention relates to surgical instruments
5 useful in endoscopically guided procedures and in
particular in laparoscopic surgery.

Laparoscopic surgery is conducted via the
peritoneal cavity by percutaneous insertion of
appropriate instruments through the abdominal wall. By
10 manipulation of the instruments while viewing the
surgical site through a laparoscope, surgery may be
performed on the gallbladder, the kidneys, liver and
large bowel, for instance.

Endoscopic surgery in general, and laparoscopic
15 surgery in particular are recognized to have considerable
advantages over open surgery because of the avoidance of
large incisions and the discomfort, long and expensive
hospital stay, and extended period of incapacity required
by such incisions. Endoscopic procedures instead employ
20 a few small penetrations of the body, which lessen the
patient's discomfort, and reduce the time and expense of
the hospital stay and the patient's period of incapacity.

Current methods of laparoscopy require filling the
peritoneal cavity with carbon dioxide gas via an
25 inflation device and maintaining inflation pressure. The
gas expands the cavity by distending the abdomen, which
provides room in which to maneuver the instruments to the
selected surgical site. The surgeon uses a variety of
instruments to conduct the surgical procedures. For
30 instance, instruments used in isolating the gallbladder
from its blood supply and ducts and then removing it from
the body via one of the abdominal penetrations include J-
form tissue-pulling hooks and retractors of various forms
for electrosurgical and laser cutting and cauterizing,

- 2 -

forceps, blunt-end dissectors, suction devices, and hollow trocars through which the various instruments are inserted. For the purpose of sealing blood flow in arteries and the like, a device for applying a sealing clip to the artery has been employed, for instance, the Endoclip available from U.S. Surgical, Inc.; typically it is inserted through one of the trocars after other instruments such as J-shaped retractors pull the artery into an exposed position. With the removal and introduction of each instrument through a trocar, carbon dioxide escapes from the peritoneum, and the supply must be replenished to maintain proper inflation, usually by manual methods. Additionally, electrosurgical and other cauterizing devices for laparoscopic or other types of surgery frequently stick to tissue due to the heat generated. One method of cooling has involved water cooling of the devices.

The number of required instruments and their repeated insertion and removal increases the time required for the procedure and its cost. It has been recognized that reduction in the time required for the procedure is highly desirable to enable more procedures to be performed at lower cost and to reduce physician fatigue.

Summary of the Invention

According to the invention, it is realized that by modifying the J-hook laparoscopic instrument, or similar instruments, additional important functions can be performed in endoscopic surgery with significant effect on the efficiency and duration of the surgical procedures, and in some instances improved results can also be obtained.

According to one aspect of the invention, a surgical instrument useful for laparoscopic surgery is provided comprising an insulated shaft extending between

- 3 -

proximal and distal ends, the proximal end having a control means and a connection to an electrosurgical radiofrequency power source; the distal end being of general J-hook form having a tissue-engaging ledge on the proximal side of the bottom of the J-hook; and the insulated shaft supporting a tissue-engaging clamping or cutting member movable axially, distally of the instrument toward the ledge in response to the control means whereby tissue such as an artery hooked in the pocket of the J-hook can be engaged on opposite surfaces for clamping or cutting while appropriate radiofrequency current from the power source is applied to the tissue.

Preferred embodiments have one or more of the following features.

The instrument includes at least one clamping member and one cutting member arranged such that upon actuation of the control means the clamping member engages the ledge of the J-hook before the cutting member, whereby, by selective actuation of the electrosurgical power source, coagulation current can first be applied while the clamp clamps an artery or other tissue against the ledge on the inside of the J-hook, thereby to seal the artery or coagulate the tissue to stop bleeding, and thereafter by further actuation of the control and actuation of the power source to provide current to the cutting member, the artery or tissue may be cut by cooperative action of the cutting member and the ledge.

The instrument has two clamp members, one on each side of the cutting member.

The clamp members are movable together towards the ledge by the control means.

The instrument includes selector means which enable movement of at least one of the members while

- 4 -

preventing selected movement of one of the members during actuation of the control means.

The instrument is constructed to apply bipolar electrosurgery energization between the J-hook and the
5 movable member or members.

The instrument is constructed to apply monopolar electrosurgery energization to the J-hook and the movable member or members for current flow to a grounding pad associated with the patient.

10 The relatively long leg of the J-member of the instrument comprises a hollow tube having a plurality of portals, the hollow tube communicating through a lumen in the shaft to a proximal fluid connection for infusion or aspiration of fluid from the surgical site via the
15 portals; preferably the fluid connection has a Y-connection suitable for connection to both infusion means and aspiration means enabling alternate infusion and aspiration through the portals and lumen.

The distally disposed end surface of the J-hook of
20 the instrument is shaped to define a cutting edge for blunt dissection.

The passage through the shaft of the instrument which houses the sealing clamp members and the cutting members is of rounded formation, the clamping members
25 have planar longitudinal inside surfaces parallel to and spaced apart from one another, and the cutter member is disposed therebetween in a manner permitting relative longitudinal motion, the outer longitudinal surfaces of the clamp members being of matched configuration to the
30 corresponding curved walls of the passage for guided movement; preferably, the passage is of oval form and, as viewed in transverse cross-section, the clamp members extend along the long sides of said oval.

The control means of the instrument comprises a
35 single actuatable member constructed to apply axial

- 5 -

motion to actuator rods associated with the sealing clamp and cutting members, a compression spring associated with the actuating rod(s) of the sealing clamp member(s) constructed to compress while the sealing clamp member is stopped in clamping action upon the artery or tissue while further motion of the actuatable member causes the cutter to cut the tissue, preferably, means are provided to adjustably vary the preloading of the spring to enable adjustment of the pressure with which the tissue is clamped before cutting or the distance of offset between the ends of the clamp and the cutting member.

Finally, a diamond film or layer on tissue-engaging surfaces of a clamp, grasper, or cutting surgical instrument can improve heat transfer between tissue and the instrument. This can e.g. reduce sticking of tissues to the instrument. This feature applies both to laparoscopic surgical instruments and instruments for other types of surgery.

Other features and advantages of the invention will become apparent from the following detailed description and from the claims.

Description of the Preferred Embodiments

Fig. 1 is a side view of a preferred multipurpose laparoscopic surgical instrument according to the invention.

Fig. 2 is an enlarged longitudinal cross-section view of the distal end of the instrument.

Fig. 3 is an enlarged plan view of the distal end of the instrument.

Fig. 4 is an enlarged cross-sectional view taken on line 4-4 of Fig. 1.

Figs. 5a, 5b and 5c are a series of views demonstrating the operation of the instrument of Fig. 1 at the surgical site.

- 6 -

Fig. 6 is an enlarged side view, partly in cross-section showing the operating mechanism of the instrument; Fig. 6a is a top plan view also partly in cross-section of the operating mechanism.

5 Fig. 7 is a view depicting a patient undergoing laparoscopic surgery.

Structure and Operation

Referring to Figs. 1 and 6 the main body of the multipurpose laparoscopic surgical instrument 10
10 comprises a rigid insulated shaft 12 which has a control section 14 at its proximal end and a J-hook formation 16 at its distal end. The instrument is most preferably made of sterilizable stainless steel, and the shaft is approximately 32 cm in length, L, and 4.8 mm in diameter,
15 D, constructed for insertion through a trocar to a surgical site within the body. The insulation may be provided by a fluorocarbon coating such as Teflon TM from duPont.

The control section 14 has a fixed handle part 34
20 and a movable trigger part 36. Also, at the proximal end, the shaft 12 has a single lumen suction/irrigation portal 18 from which extends a Y-connector 20. One branch of the Y-connector 20 is shown connected to a suction tube, which leads to a suction syringe 26. The
25 other branch of the Y-connector 20 is shown connected to an irrigation tube, which leads to an irrigation syringe 28. This portion of the instrument provides selectable suction and irrigation through lumen 50 which extends through shaft 12 to the distal end of the instrument. In
30 addition, an electrical connector 30 extends from the shaft 12 for connection to an electrosurgical radiofrequency power source 31 which is associated with a control, such as a foot switch.

At the distal end, the J-hook formation 16 is
35 formed by a hollow tubular leg 40 of diameter D_1 , e.g. 2

- 7 -

mm, extending L_1 , e.g. 6 mm, beyond the distal end of shaft 12, and by cross-member 42 joined to the distal end of leg 40. Cross-member 42 defines a ledge 62 on its proximal side (inside surface of the J) and a serrated cutting edge 64 on its distal side, see Fig. 2. The serrated edge 64 as seen in plan in Fig. 3 tapers to a cutting edge suitable for blunt dissection of tissues by end-wise, distal movement of the instrument. The hollow leg 40 of the J-hook 16 has a plurality of suction/irrigation portals 38 communicating with lumen 50. The J-hook 16 has returning tip 44 on the free end of the cross-member 42. Tip 44, points proximally for hooking purposes, see Fig. 2. The thickness of the cross-member 42 and the hook 44, in the direction normal to the plane of Fig. 2 is 2 mm, corresponding to the diameter of leg 40.

The rigid insulated shaft 12 conducts electrosurgery power via metal leg 40 to the cross-member 42. Parallel to the suction/irrigation lumen 50, a longitudinal passage 52 of oval cross section extends through the shaft 12. This passage houses two sealing-clamp bars 54 and an electrosurgical cutting knife 56. The distal ends of the sealing/cutting bars are flat and constructed to press against matching anvil surfaces provided by the cross-member 42, see Fig. 5. The outer longitudinal side of each sealing clamp 54 is crescent shaped to conform to the corresponding inside surface of oval passage 52, while the inside longitudinal surface of the clamps are planar, parallel and spaced apart. The cutting knife 56 lies in the space between the two clamps 54. In the distal region the knife extends the full dimension of oval passage 52, as shown in the cross section of Fig. 4. A knife actuating shaft 72 extends proximally from knife 56 to the control section, to transmit axial movement to the knife. In one embodiment,

- 8 -

the exposed anvil surfaces and the end surfaces of the sealing clamps 54 and the knife 56 have a diamond film for purposes of heat transfer in preferred embodiments. Such films may be produced by vapor deposition method
5 such as employed by General Electric Superabrasives Inc., see for instance Fisher, "Science Newsfront", Popular Science, pp. 25-26, (Oct. 1990), and Anthony, Banholzer and Fleischer publications and patents on this subject, herein incorporated by reference.

10 In the first preferred embodiment, a pin 76 is located above the pivot 66 on the movable trigger part 36 and pivotally connects to shaft 72. The knife blade 56 is thereby driven axially by shaft 72. Likewise
15 actuating shafts 70, Fig. 6a, for the two sealing clamps 54 are directly driven by shaft 72. A yieldable compression spring 74 is captured in spring retention sleeve 75, which is fixed to shaft 72 and retains the
20 proximal ends of shafts 70. Thus the compression spring is interposed in the drive linkage for each clamp, such that when the sealing clamp bottoms on its respective
25 anvil surface of the ledge, or upon an artery, vein, duct or tissue interposed between the anvil and the sealing clamp, the trigger 36 is capable of further actuating motion, applying increasing pressure on the body-part, but with no further substantial motion of the sealing
clamps.

The respective actuating shafts for the sealing clamps are dimensioned such that when the trigger 36 is in released position under the influence of its return
30 spring 37, the clamps are entirely withdrawn into hollow shaft 12, and the tissue receiving pocket P formed between the inside ledge 62 of the J-hook and the end of shaft 12 is entirely open to receive an artery, vein, duct or tissue to be retracted or otherwise acted upon by
35 the instrument. The actuating shaft 72 for the knife

- 9 -

blade is sized in length to position the blade even further proximally within hollow shaft 12 in the manner that during actuation, the sealing clamp can clamp the tissue fully before the blade has contact with the tissue. This can permit selective clamping and subsequent release, or clamping and sealing by application of coagulating current without cutting if desired, or cutting may be actuated by increased squeeze on the trigger only after it is observed that desired sealing action of the artery, vein or duct under the sealing clamp has occurred.

(Those familiar with gardening may realize the similarity of this action to the action of known rose bush pruning devices which incorporate clamping and cutting device to clamp a branch securely before the blade engages the branch for cutting.)

A safety latch device 35, having a pivot 78 and disposed to engage a stop pin 80, is associated with trigger 36. When set, the device 35 limits the motion of trigger 36 to that required for clamping, and when released, it permits full travel to enable successive clamping and cutting, see Fig. 6.

For the preferred bipolar electrosurgical operation the tissue-engaging surfaces of the cross member are preferably associated with the positive terminal of the rf electrosurgery power supply and the sealing/clamping bars and the knife are negative. For such embodiments the interior of the oval passage 52 is insulated or other means are employed to electrically isolate the respective parts of the instrument and the connecting terminal 30 is constructed to provide bipolar energization. In the present embodiment it is contemplated that during cutting, the current will be selected to employ the field-concentrating effect of the knife to cause cutting at the knife, while any current at

- 10 -

the clamp members will be at such a low current density as to be insufficient to cause cutting. In this form the instrument can be used for monopolar operation in the conventional manner when the clamp and cutting members are withdrawn, by use of a conventional grounding pad associated with the patient. In an alternative preferred bipolar construction, the clamp members 54 and the knife 56 are positive and the cross-member 42 is grounded. If desired, the clamp members and their actuators can then be electrically isolated from the knife member and its actuator, to enable distinctly different currents (e.g. coagulating and cutting currents respectively) to be applied to the clamp members and knife, when desired.

Fig. 5 shows the operation of the distal end of the instrument. By taking the section at line 5-5 in Fig. 2, the returning tip 44 of the J-hook 16 is not shown to enable the sealing clamps and blade to be shown more fully.

In Fig. 5a a blood vessel 84 has been hooked into the pocket P of the J-hook 16 after being separated from the surrounding tissue by blunt dissection using the novel distal serrated edge 64 of this J-hook instrument, or by other techniques. In hooking the tissue the instrument has been used in manner normal to J-hooks. The blood vessel 84 lies perpendicular to the two sealing clamps 54 and the cutting knife 56 and cross-wise over the blunt ledge 62 or anvil of the cross-member 42 of the J-hook 16.

In Fig. 5b, by partially pulling the trigger, the clamps 54 have been moved toward the anvil surface of ledge 62 to clamp the vessel in two places. If it is only wished to temporarily hold the vessel during the surgical procedure, the control trigger may subsequently be released to release the vessel, unimpaired. In this case the instrument has acted as a forceps. If it is

- 11 -

wished to seal the vessel, electrosurgery power is applied at coagulating rf current levels when the clamps grip the blood vessel. As a result the blood vessel 64 is cauterized and sealed at the positions of the clamps
5 by the bipolar radiofrequency current that passes through the tissue, so that blood no longer can flow through the vessel.

In Fig. 5c, after releasing the safety device 35, further squeezing of the trigger 36 causes the cutting
10 knife 56 to move through the blood vessel 84 between the sealed regions, thus severing the blood vessel 84, as desired. While it is possible to employ knife edge cutting, or slicing, in the preferred form of the present embodiment electrosurgical current of cutting quality (or
15 a blend of cutting and coagulating current) is applied between the leading part of the knife and the opposed portion of the ledge 62 of cross-member 42.

In the embodiment in which the tissue-engaging surfaces (i.e., the anvil surface of the cross-member 42
20 and the distal ends of the clamps 54 and the knife 56) are coated with a diamond film, heat transfer between those surfaces and the blood vessel 84 can be improved to reduce sticking of the blood vessel 84 to those surfaces.

Referring to Fig. 6 the operation of the internal
25 mechanism for the steps of Fig. 5 will be described. The surgeon draws the movable trigger 36 of the control 32 proximally toward the fixed handle 34. The movable trigger 36 attaches to the fixed part 34 at a pivot point 66, but a small upper extension 68 of the movable part 36
30 extends beyond the pivot 66 and includes the pin 76 and slot arrangement, as mentioned above. As the surgeon draws the movable part 36 proximally, the upper portion 68 with the pin 76 moves distally, moving the proximal end of actuating shaft, and therewith, shafts 70. The
35 distal motion of the outer shafts 70 causes the clamps 54

- 12 -

to move distally, while the distal motion of the inner shaft 72 causes the cutting knife 56 to move distally. The compression spring 74 associated with the actuating shaft for each clamp 54 moves with the assembly. When
5 the clamps 54 can no longer move distally due to engagement with the blood vessel 64 and clamping of it against the anvil, the compression of the spring 74 attached to the clamps 54 allows the continued motion of the cutting knife 56. The trigger return spring 37,
10 assures the return of the clamps and knife distally upon release of the trigger. A selector mechanism, such as the safety device 35 shown in Fig. 6, can be adjusted by the surgeon to lock the movable cutting knife 56, such that distance of travel of the trigger is limited so that
15 only clamping occurs when the trigger is squeezed. In the present embodiment, the trigger 36 advances until the latch 35 comes into contact with the stop pin 80. Depressing the latch 35 raises its end opposite the pivot pin 78 (i.e., the end that contacts the stop pin 80).
20 Such release of the latch allows the trigger 36 to continue the stroke.

In another preferred embodiment the spring-retaining, clamp-supporting sleeve 75 is threaded to the actuation rod 72 for the cutting blade. By rotating the
25 sleeve on the threads the position of the distal ends of the sealing clamp members can be varied relative to the cutting blade. This can be employed to vary the relationship of clamping to cutting, relative to actuation motion of the trigger, and can as well be used
30 to vary the clamping pressure attained prior to cutting.

As mentioned above, in addition to the clamping and cutting functions, the J-hook 16 of the instrument may also be used for simple hooking, and its serrated edge 64 permits its use for blunt dissection.
35 Furthermore, the portals 38 and lumen 50, together with

- 13 -

the attached suction and irrigation syringes 26 and 28, permit controlled alternate suction and irrigation of the surgical area through the multiple suction/irrigation portals 38 in the hollow tubular leg 40 of the J-hook 16.

5 Thus during the surgery e.g. after an artery is hooked but before sealing and cutting, blood in the field may be aspirated, saline introduced for washing, and further aspiration performed for cleaning the field prior to the electrosurgery. Similarly, after cutting an artery, the

10 instrument can again be used for an aspiration, infusion sequence. This suction/irrigation feature thus further reduces the usual number of instrument interchanges required during surgery, minimizing the need for interruptions in the procedure and loss of inflation gas.

15 For further understanding of the use of the instrument, we refer to Fig. 7. A laparoscope 90 is introduced through a 10 mm trocar into the peritoneal cavity through the upper part of the patient's umbilicus and directed toward the liver. The peritoneal cavity is

20 then inflated with carbon dioxide gas. A 5 mm trocar is inserted near the gallbladder fundus and a forceps 92 is introduced and used to grasp the fundus. A 5 mm trocar is inserted into the mid-clavicle area and the surgical instrument 10 of the present application is introduced

25 through it. A 10 mm trocar is inserted into the lower right quadrant of the abdomen and a grasping instrument 94 is introduced through this trocar. The surgeon then operates on the patient.

Other Embodiments

30 In another preferred embodiment a selector mechanism is incorporated in the instrument to enable selection of which one of the active elements or which number of the elements will move upon actuation of the control. Depending upon the particular selector

35 mechanism and its setting, it is readily possible for the

- 14 -

surgeon to lock the movable cutting blade, so that only clamping occurs upon squeeze of the handle, or for the surgeon to select only the cutting blade for movement, or to select one or the other of the clamps to move. In
5 another preferred embodiment multiple triggers are provided for the respective elements, and appropriate safety latches can be included. In other preferred embodiments, only a single clamp is provided, with and without a cutting blade, and in another preferred
10 embodiment only a cutting blade is provided.

Other embodiments of the invention include various combinations of the coagulation, cutting and suction/irrigation functions. In these embodiments, too, the instrument can have a knife, but no clamps; or an
15 instrument can comprise a J-hook having a serrated edge for blunt dissection without including either the knife or the clamps and either with or without suction/irrigation. There can be one clamp used alone or located to one side of the cutting knife. Multiple
20 triggers for the various movable elements and safety catches can be again included. Other than J-hooks per se, equivalent forms can be employed to perform the functions described.

These and numerous other embodiments will be
25 within the spirit and scope of the claims.

- 15 -

Claims

1 1. A surgical instrument useful for laparoscopic
2 surgery comprising an insulated shaft extending between
3 proximal and distal ends,
4 said proximal end having a control means and a
5 connection to an electrosurgical radiofrequency power
6 source;
7 said distal end being of general J-hook form
8 having a tissue-engaging ledge on the proximal side of
9 the bottom of the J-hook; and
10 said insulated shaft supporting a tissue-engaging
11 clamping or cutting member movable axially, distally of
12 the instrument toward said ledge in response to said
13 control means whereby tissue such as an artery or other
14 tissue hooked in the pocket of said J-hook can be engaged
15 on opposite surfaces for clamping or cutting while
16 appropriate radiofrequency current from said power source
17 is applied to said tissue.

1 2. The instrument of claim 1 including at least
2 one clamping member and one cutting member.

1 3. The instrument of claim 2 constructed and
2 arranged such that upon actuation of said control means
3 said clamping member engages said ledge of said J-hook
4 before said cutting member, whereby, by selective
5 actuation of said electrosurgical power source,
6 coagulation current can be applied while said clamp
7 clamps said artery or other tissue against the ledge on
8 the inside of the J-hook, thereby to seal said artery or
9 coagulate said tissue to stop bleeding.

1 4. The instrument of claim 3 constructed for
2 further actuation of said control to cut said artery or

- 16 -

3 tissue by cooperative action of said cutting member and
4 said ledge.

1 5. The instrument of claim 4 connected to a power
2 source to provide current to said cutting member, whereby
3 the artery or tissue may be cut electrosurgically.

1 6. The instrument of claim 2 wherein two clamp
2 members are provided, one on each side of said cutting
3 member.

1 7. The instrument of claim 3, 4 or 5 wherein two
2 clamp members are provided, one on each side of said
3 cutting member.

4 8. The instrument of claim 6 wherein said clamp
5 members are movable together toward said ledge by said
6 control means.

1 9. The instrument of claim 7 wherein said clamp
2 members are movable together toward said ledge by said
3 control means.

4 10. The instrument of claim 2, 3, 4, 5, 6 or 8
5 including selector means enabling movement of at least
6 one of said members while preventing selected movement of
7 one of said members during actuation of said control
8 means.

1 11. The instrument of claim 1, 2, 3, 4, 5, 6 or 8
2 constructed to apply bipolar electrosurgery energization
3 between said J-hook and said movable member or members.

1 12. The instrument of claim 11 including at least
2 one clamping member and one cutting member wherein said

- 17 -

3 members are electrically isolated from as another to
4 enable cutting current to be applied to said cutting
5 member without applying cutting current to said clamp
6 member.

7 13. The instrument of claim 1, 2, 3, 4, 5, 6 or 8
8 constructed to apply monopolar electrosurgery
9 energization to said J-hook and said movable member or
10 members for current flow to a grounding pad associated
11 with the patient.

1 14. The instrument of claim 1, 2, 3, 4, 5 or 8
2 wherein the relatively long leg of said J-member
3 comprises a hollow tube having a plurality of portals,
4 said hollow tube communicating through a lumen in said
5 shaft to a proximal fluid connection for infusion or
6 aspiration of fluid from the surgical site via the
7 portals.

1 15. The instrument of claim 1 wherein said fluid
2 connection has a Y-connection suitable for connection to
3 both infusion means and aspiration means enabling
4 infusion and aspiration through said portals and lumen.

1 16. The instrument of claim 1, 2, 3, 4, 5, 6 or 8
2 wherein the distally disposed end surface of said J-hook
3 is shaped to define a cutting edge for blunt dissection.

1 17. The instrument of claim 1, 2, 3, 4, 5, 6 or 8
2 wherein the relatively long leg of said J-member
3 comprises a hollow tube having a plurality of portals,
4 said hollow tube communicating through a lumen in said
5 shaft to a fluid connection for infusion or aspiration of
6 fluid from the surgical site via the portal and wherein

- 18 -

7 the distally disposed end surface of said J-hook is
8 shaped to define a cutting edge for blunt dissection.

1 18. The instrument of claim 3 or 4 wherein said
2 passage through said shaft is of rounded formation, said
3 clamping members have planar longitudinal inside surfaces
4 parallel to and spaced apart from one another, and said
5 cutting member is disposed therebetween in a manner
6 permitting relative longitudinal motion, the outer
7 longitudinal surfaces of said clamp members being of
8 matched configuration to the corresponding curved walls
9 of said passage for guided movement.

1 19. The instrument of claim 11 where said passage
2 is of oval form and, as viewed in transverse cross-
3 section, said clamp members extend along the long sides
4 of said oval.

1 20. The instrument of claim 2, 3 or 4 wherein
2 said control means comprises a single actuatable member
3 constructed to apply axial motion to actuator rods
4 associated with said sealing clamp and cutting members, a
5 compression spring associated with the actuating rod of
6 said sealing clamp member constructed to compress while
7 said sealing clamp member is stopped in clamping action
8 upon said artery or tissue while further motion of said
9 actuatable member causes said cutter to cut said tissue.

1 21. The instrument of claim 14 wherein means are
2 constructed to adjustably vary the preloading of said
3 spring to enable adjustment of the pressure with which
4 said tissue is clamped before cutting or the distance of
5 offset between the ends of said clamp and said cutting
6 member.

- 19 -

1 22. A surgical instrument useful for laparoscopic
2 surgery comprising an insulated shaft extending between
3 proximal and distal ends,
4 said proximal end having a control means and a
5 connection to an electrosurgical radiofrequency power
6 source; and
7 said distal end being of general J-hook form
8 having a tissue-engaging ledge on the proximal side of
9 the bottom of the J-hook, wherein the relatively long leg
10 of said J-member comprises a hollow tube having a
11 plurality of portals, said hollow tube communicating
12 through a lumen in said shaft to a proximal fluid
13 connection for infusion or aspiration of fluid from the
14 surgical site via the portals.

1 23. A surgical instrument useful for laparoscopic
2 surgery comprising an insulated shaft extending between
3 proximal and distal ends,
4 said proximal end having a control means and a
5 connection to an electrosurgical radiofrequency power
6 source; and
7 said distal end being of general J-hook form
8 having a tissue-engaging ledge on the proximal side of
9 the bottom of the J-hook, wherein the distally disposed
10 end surface of said J-hook is shaped to define a cutting
11 edge for blunt dissection.

1 24. A surgical instrument useful for laparoscopic
2 surgery comprising an insulated shaft extending between
3 proximal and distal ends,
4 said proximal end having a control means and a
5 connection to an electrosurgical radiofrequency power
6 source; and
7 said distal end being of general J-hook form
8 having a tissue-engaging ledge on the proximal side of

- 20 -

9 the bottom of the J-hook, wherein the relatively long leg
10 of said J-member comprises a hollow tube having a
11 plurality of portals, said hollow tube communicating
12 through a lumen in said shaft to a proximal fluid
13 connection for infusion or aspiration of fluid from the
14 surgical site via the portals, and
15 wherein the distally disposed end surface of said
16 J-hook is shaped to define a cutting edge for blunt
17 dissection.

1 25. A surgical instrument having a tissue-
2 engaging member, the tissue-engaging surface of which
3 comprises a coated diamond layer enabling improved heat
4 transfer between said tissue and said member.

5 26. The instrument of claim 1, 2, 3, 4, 5 or 6
6 having a tissue-engaging member, the tissue-engaging
7 surface of which comprises a coated diamond/layer
8 enabling improved heat transfer between said tissue and
9 said member.

1 27. The instrument of claim 25 wherein said
2 member is constructed to engage tissue for producing a
3 flow of radio frequency current for cauterizing coagulating
4 or cutting tissue, said diamond layer serving to conduct
5 heat from said tissue to said instrument for coating said
6 tissue.

1 28. The instrument of claim 26 wherein said
2 member is constructed to engage tissue for producing a
3 flow of radio frequency current for cauterizing coagulating
4 or cutting tissue, said diamond layer serving to conduct
5 heat from said tissue to said instrument for coating said
6 tissue.

AMENDED CLAIMS

[received by the International Bureau on 17 March 1992 (17.03.92);
original claims 1,2,4,5,11-29 amended;
other claims unchanged (9 pages)]

1 1. A surgical instrument useful for
2 laparoscopic surgery comprising an insulated shaft
3 extending between proximal and distal ends,
4 said proximal end having a control means for
5 controlling clamping or cutting by the instrument and a
6 connection to an electrosurgical radiofrequency power
7 source;
8 said insulated shaft having conductor means
9 serving to conduct radiofrequency power from said
10 connection to the distal end of the instrument;
11 said distal end being of general J-hook form
12 defining a tissue-receiving pocket, the bottom of the J-
13 hook having a proximal side which defines a tissue-
14 engaging ledge;
15 said insulated shaft supporting tissue-engaging
16 clamping or cutting members, said members movable
17 axially, distally of the instrument toward said ledge in
18 response to said control means for successively engaging
19 said tissue by a first of said members at a first point
20 along said ledge and subsequently by a second of said
21 members at another point spaced along said ledge from the
22 first engaged point, whereby tissue such as an artery or
23 other tissue hooked in the pocket of said J-hook can be
24 engaged on opposite surfaces for clamping or cutting
25 while appropriate radiofrequency current from said power
26 source is applied to said tissue.

1 2. A surgical instrument useful for
2 laparoscopic surgery comprising an insulated shaft
3 extending between proximal and distal ends,
4 said proximal end having a control means for
5 controlling clamping or cutting by the instrument and a
6 connection to an electrosurgical radiofrequency power
7 source;

8 said insulated shaft having conductor means
9 serving to conduct radiofrequency power from said
10 connection to the distal end of the instrument;

11 said distal end being of general J-hook form
12 defining a tissue-receiving pocket, the bottom of the J-
13 hook having a proximal side which defines a tissue-
14 engaging ledge;

15 said insulated shaft supporting at least one
16 tissue-engaging clamping member and at least one tissue
17 engaging cutting member each movable axially, distally of
18 the instrument toward said ledge in response to said
19 control means whereby tissue such as an artery or other
20 tissue hooked in the pocket of said J-hook can be engaged
21 on opposite surfaces for clamping or cutting while
22 appropriate radiofrequency current from said power source
23 is applied to said tissue.

1 3. The instrument of claim 2 constructed and
2 arranged such that upon actuation of said control means
3 said clamping member engages said ledge of said J-hook
4 before said cutting member, whereby, by selective
5 actuation of said electrosurgical power source,
6 coagulation current can be applied while said clamp
7 clamps said artery or other tissue against the ledge on
8 the inside of the J-hook, thereby to seal said artery or
9 coagulate said tissue to stop bleeding.

1 4. The instrument of claim 3 in which said
2 control means is constructed and arranged to enable
3 further actuation of said control to cut said artery or
4 ~~tissue by cooperative action of said cutting member and~~
5 ~~said ledge.~~

1 5. The instrument of claim 4 wherein said
2 connection to said power source is connected to provide
3 current to said cutting member, whereby the artery or
4 tissue may be cut electrosurgically.

1 6. The instrument of claim 2 wherein two clamp
2 members are provided, one on each side of said cutting
3 member.

1 7. The instrument of claim 3, 4 or 5 wherein
2 two clamp members are provided, one on each side of said
3 cutting member.

4 8. The instrument of claim 6 wherein said clamp
5 members are movable together toward said ledge by said
6 control means.

1 9. The instrument of claim 7 wherein said clamp
2 members are movable together toward said ledge by said
3 control means.

4 10. The instrument of claim 2, 3, 4, 5, 6 or 8
5 including selector means enabling movement of at least
6 one of said members while preventing selected movement of
7 one of said members during actuation of said control
8 means.

1 11. The instrument of claim 1, 2, 3, 4, 5, 6 or
2 8 wherein said connection and said conductor means are
3 constructed to apply bipolar electrosurgery energization
4 between said J-hook and said movable members.

1 12. The instrument of claim 11 wherein said
2 members are electrically isolated from one another, said
3 conductor means constructed to enable cutting current to
4 be applied to one of said members without applying
5 cutting current to the other of said members.

6 13. The instrument of claim 1, 2, 3, 4, 5, 6 or
7 8 wherein said connection and said conductor means are
8 constructed to apply monopolar electrosurgery

9 energization to said J-hook and said movable members for
10 current flow to a grounding pad associated with the
11 patient.

1 14. The instrument of claim 1, 2, 3, 4, 5 or 8
2 wherein said shaft comprises a hollow tube and a distal
3 extremity of said tube has a plurality of portals, said
4 hollow tube communicating to a proximal fluid connection
5 for infusion or aspiration of fluid from a surgical site
6 via the portals.

1 15. The instrument of claim 14 wherein said
2 fluid connection has a Y-connection suitable for
3 connection to both infusion means and aspiration means
4 enabling infusion and aspiration through said portals and
5 lumen.

1 16. The instrument of claim 1, 2, 3, 4, 5, 6 or
2 8 wherein a distally disposed end surface of said J-hook
3 is shaped to define a cutting edge for blunt dissection.

1 17. The instrument of claim 1, 2, 3, 4, 5, 6 or
2 8 wherein said shaft comprises a hollow tube and a distal
3 extremity of said tube has a plurality of portals, said
4 hollow tube communicating to a fluid connection for
5 infusion or aspiration of fluid from a surgical site via
6 the portal and wherein a distally disposed end surface of
7 said J-hook is shaped to define a cutting edge for blunt
8 dissection.

1 18. The instrument of claim 6 or 7 wherein said
2 shaft includes a passage that is of rounded formation,
3 said clamping members have planar longitudinal inside
4 surfaces parallel to and spaced apart from one another,
5 and said cutting member is disposed therebetween in a
6 manner permitting relatively longitudinal motion, the
7 outer longitudinal surfaces of said clamp members being

8 of matched configuration to corresponding curved walls of
9 said passage for guided movement.

1 19. The instrument of claim 18 where said
2 passage is of oval form having two opposed long sides
3 and, as viewed in transverse cross-section, said outer
4 longitudinal surfaces of said clamping members extend
5 along the long sides of said oval.

1 20. The instrument of claim 2, 3 or 4 wherein
2 said control means comprises a single actuatable member
3 and respective actuator rods connected to said clamping
4 member and said cutting member, said actuatable member,
5 constructed to apply axial motion to said actuator rods
6 to actuate said clamping and cutting members, a
7 compression spring connected to the actuating rod of said
8 clamping member constructed to compress while said
9 clamping member is stopped in clamping action upon said
10 artery or tissue while further motion of said actuatable
11 member causes said cutting member to cut said tissue.

1 21. The instrument of claim 20 wherein means
2 are constructed to adjustably vary the preloading of said
3 spring to enable adjustment of the pressure with which
4 said tissue is clamped before cutting.

1 22. A surgical instrument useful for
2 laparoscopic surgery comprising an insulated shaft
3 extending between proximal and distal ends,
4 said proximal end having a control means for
5 controlling clamping or cutting by the instrument and a
6 connection to an electrosurgical radiofrequency power
7 source; and
8 said insulated shaft having conductor means
9 serving to conduct radiofrequency power from said
10 connection to the distal end of the instrument;

11 said distal end being of general J-hook form
12 defining a tissue-receiving pocket, the bottom of the J-
13 hook form having a proximal side which defines a tissue-
14 engaging ledge, said shaft comprises a hollow tube and a
15 distal extremity of said tube has a plurality of portals,
16 said hollow tube communicating to a proximal fluid
17 connection for infusion or aspiration of fluid from a
18 surgical site via the portals.

1 23. A surgical instrument useful for
2 laparoscopic surgery comprising an insulated shaft
3 extending between proximal and distal ends,
4 said proximal end having a control means for
5 controlling clamping or cutting by the instrument and a
6 connection to an electrosurgical radiofrequency power
7 source; and
8 said insulated shaft having conductor means
9 serving to conduct radiofrequency power from said
10 connection to the distal end of the instrument;
11 said distal end being of general J-hook form
12 defining a tissue-receiving pocket, the bottom of the J-
13 hook having a proximal side which defines a tissue-
14 engaging ledge, and
15 wherein a distally disposed end surface of said
16 J-hook is shaped to define a cutting edge for blunt
17 dissection.

1 24. A surgical instrument useful for
2 laparoscopic surgery comprising an insulated shaft
3 extending between proximal and distal ends,
4 said proximal end having a control means for
5 controlling clamping or cutting by the instrument and a
6 connection to an electrosurgical radiofrequency power
7 source; and
8 said insulated shaft having conductor means
9 serving to conduct radiofrequency power from said
10 connection to the distal end of the instrument;

11 said distal end being of general J-hook form
12 defining a tissue-receiving pocket, the bottom of the J-
13 hook having a proximal side which defines a tissue-
14 engaging ledge, said shaft comprising a hollow tube and a
15 distal extremity of said tube has a plurality of portals,
16 said hollow tube communicating to a proximal fluid
17 connection for infusion or aspiration of fluid from a
18 surgical site via the portals, and
19 wherein a distally disposed end surface of said
20 J-hook is shaped to define a cutting edge for blunt
21 dissection.

1 25. A surgical instrument for laparoscopic
2 electrosurgery having an elongated shaft extending
3 between proximal and distal ends, said proximal end
4 having a connection to an electrosurgical radiofrequency
5 power source, said shaft having insulated conductor means
6 serving to conduct radiofrequency power from said
7 connection to the distal end of the instrument, at said
8 distal end a tissue-engaging member has a tissue-
9 engaging surface comprising a coated diamond film outer
10 layer, said diamond film layer enabling improved heat
11 transfer of heat generated during electrosurgery between
12 said tissue and said tissue-engaging member.

13 26. The instrument of claim 1, 2, 3, 4, 5 or 6
14 in which a tissue-engaging member has a tissue-engaging
15 surface comprising a coated diamond film outer layer
16 enabling improved heat transfer between said tissue and
17 said member.

1 27. The instrument of claim 25 wherein said
2 member is electrically conductive and is connected to
3 said conductor means for producing a flow of
4 radiofrequency current for cauterizing, coagulating or
5 cutting tissue, said diamond film layer serving to

6 conduct heat from said tissue to said instrument for
7 cooling said tissue.

8 28. The instrument of claim 26 wherein said
9 member is electrically conductive and is connected to
10 said conductor means for producing a flow of
11 radiofrequency current for cauterizing, coagulating or
12 cutting tissue, said diamond film layer serving to
13 conduct heat from said tissue to said instrument for
14 cooling said tissue.

15 29. A surgical instrument useful for
16 laparoscopic surgery comprising an insulated shaft
17 extending between proximal and distal ends,
18 said proximal end having a control means for
19 controlling clamping or cutting by the instrument and a
20 connection to an electrosurgical radiofrequency power
21 source;
22 said insulated shaft having conductor means
23 serving to conduct radiofrequency power from said
24 connection to the distal end of the instrument;
25 said distal end being of general J-hook form
26 defining a tissue-receiving pocket, the bottom of the J-
27 hook having a proximal side which defines a tissue-
28 engaging ledge;
29 said insulated shaft supporting a tissue-
30 engaging clamping or cutting member movable axially,
31 distally of the instrument toward said ledge in response
32 to said control means whereby tissue such as an artery or
33 other tissue hooked in the pocket of said J-hook can be
34 engaged on opposite surfaces for clamping or cutting
35 while appropriate radiofrequency current from said power
36 source is applied to said tissue;
37 wherein said shaft comprises a hollow tube, and
38 a distal extremity of said tube has a plurality of
39 portals, said hollow tube communicating to a proximal

- 40 fluid connection for infusion or aspiration of fluid from
41 a surgical site via the portals;
42 said fluid connection having a Y-connection
43 suitable for connection to both infusion means and
44 aspiration means enabling alternate infusion and
45 aspiration through said portals.

1/5

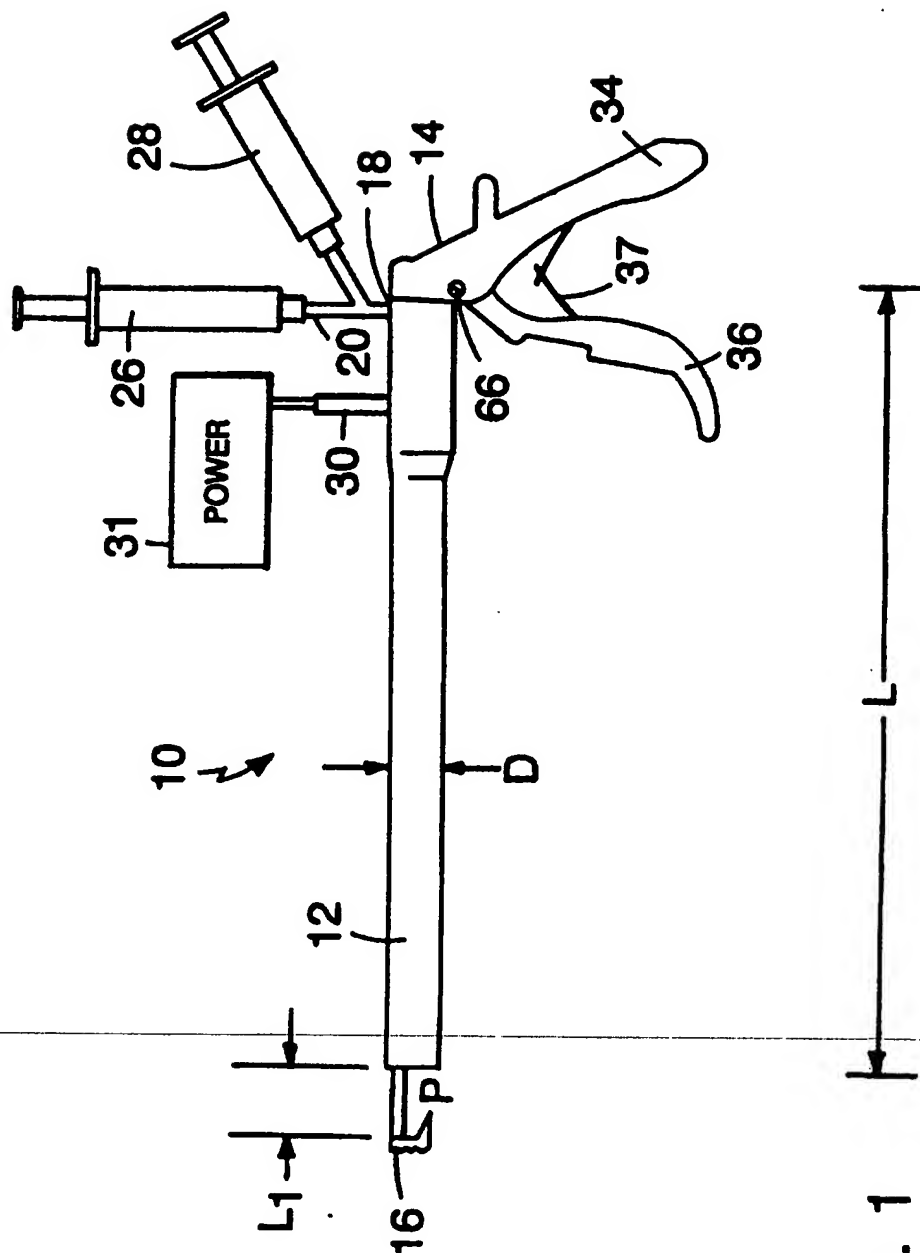


FIG. 1

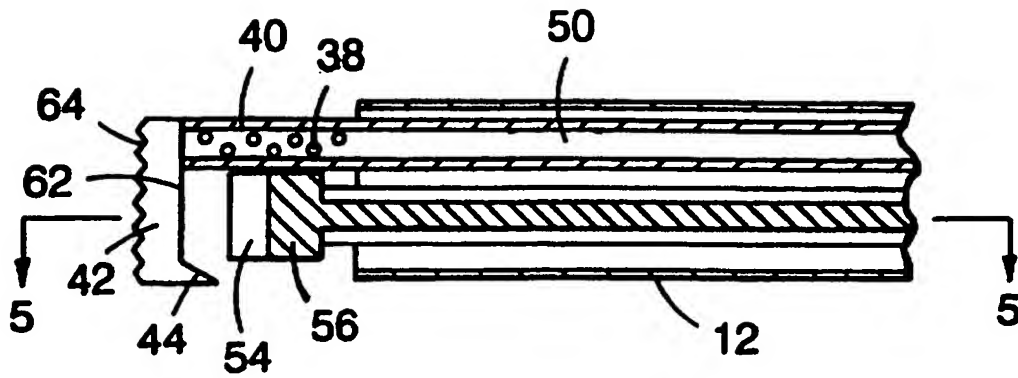


FIG. 2

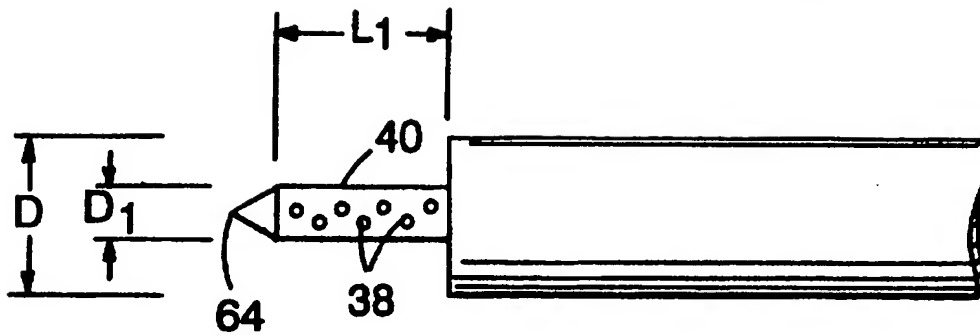


FIG. 3

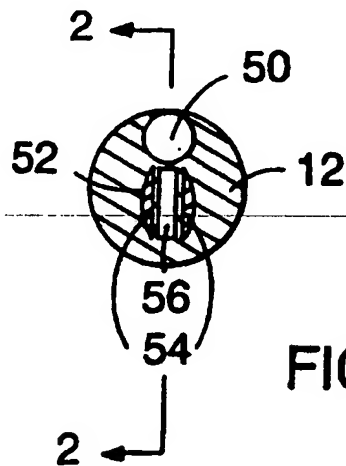


FIG. 4

3/5

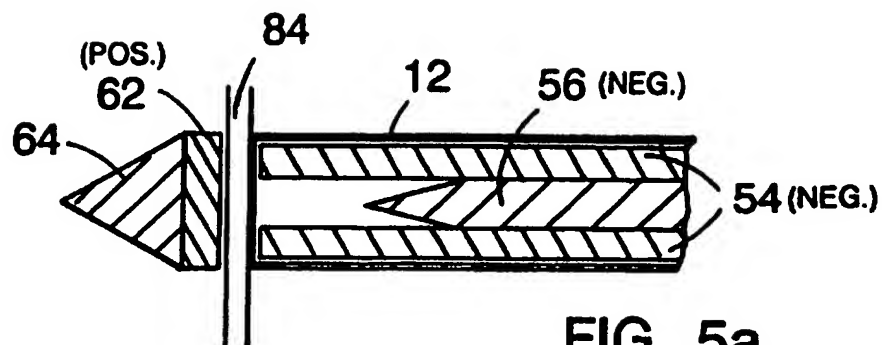


FIG. 5a

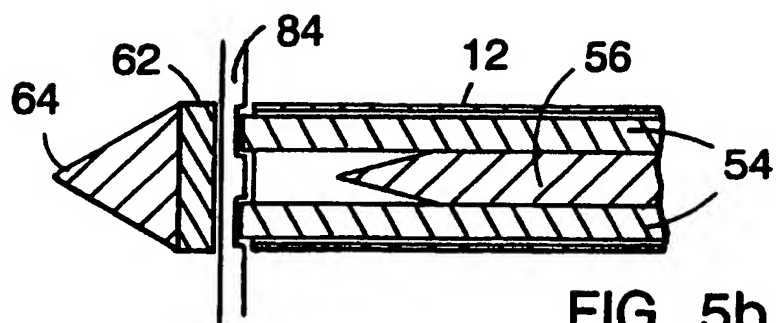


FIG. 5b

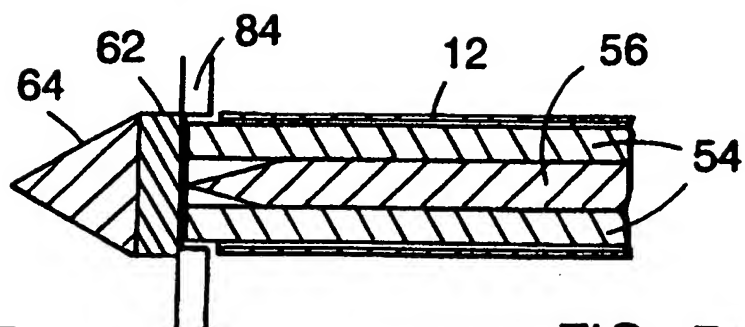


FIG. 5c

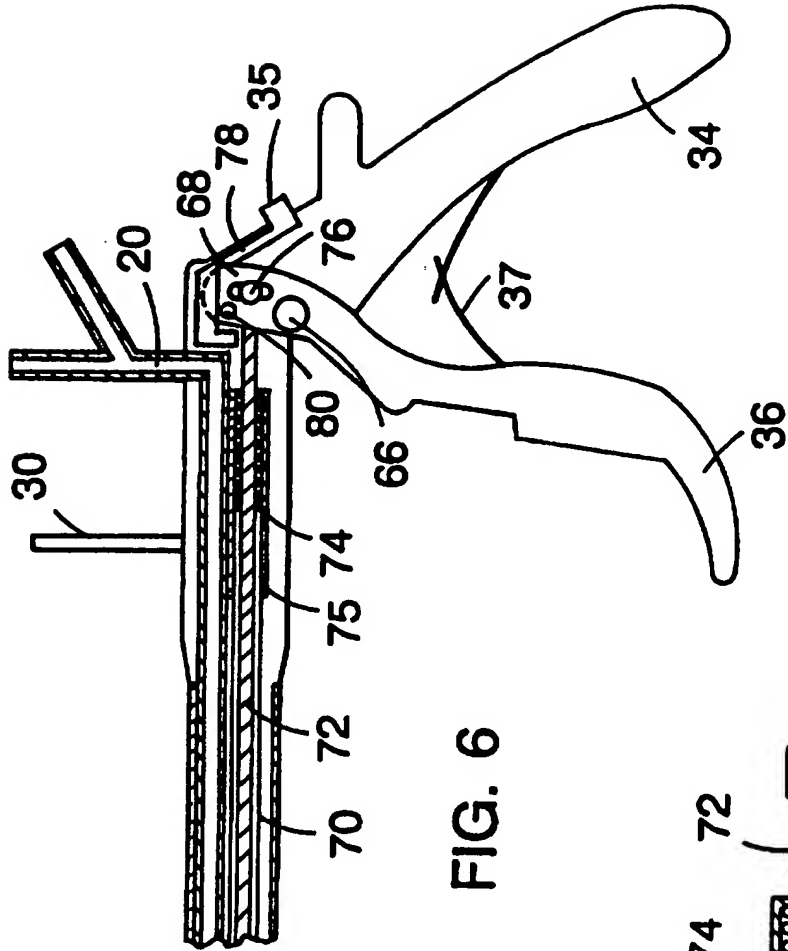


FIG. 6

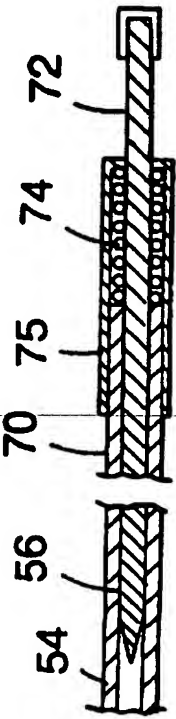


FIG. 6a

5/5

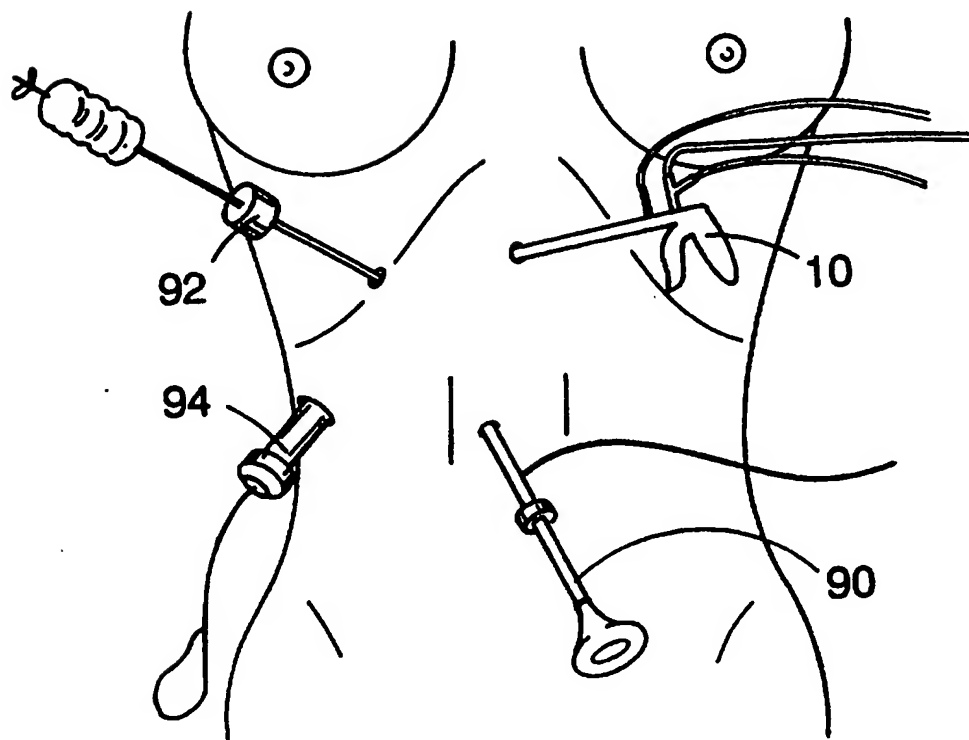


FIG. 7

INTERNATIONAL SEARCH REPORT

International Application No. PCT/US91/07725

I. CLASSIFICATION OF SUBJECT MATTER : Several classification symbols apply, indicate all :

According to International Patent Classification (IPC) or to both National Classification and IPC

IPC (5): A61B 17/39

U.S.Cl.: 606/45, 606/48; 606/49; 606/50

II. FIELDS SEARCHED

Minimum Documentation Searched :

Classification System

Classification Symbols

U.S.

606/41, 42, 45, 48-52
604/35

Documentation Searched other than Minimum Documentation
to the extent that such documents are included in the Fields Searched :

III. DOCUMENTS CONSIDERED TO BE RELEVANT :

Category : Citation of Document, with indication, where appropriate, of the relevant passages : Relevant to Claim No. 1 :

Y	US, A, 2,011,169 (WAPPLER) 13 August 1935 See entire document.	1,11/1,13/11, 14/1,15,16/1, 17/1,22-24, 26/1,28/26/1
A	US, A, 4,418,692 (GUAY) 06 December 1983 See entire document.	1
Y	US, A, 4,622,966 (BEARD) 18 November 1986 See entire document.	25,26/1,27, 28/26/1
Y	US, A, 4,627,435 (HOSKIN) 09 December 1986 See column 2, lines 38-64.	25,26/1,27, 28/26/1
A,P	US, A, 4,985,030 (MELZER) 15 January 1991 See entire document.	1
Y	DE, A, 2,415,263 (HABERLEN) 02 October 1975 See entire document, particularly Figure 6	1,11/1,13/1, 14/1,15,16/1, 17/1,22-24, 26/1,28/26/1

* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubt on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the subject on but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being deemed to be obvious in the art.

"Z" document member of the same patent family

IV. CERTIFICATION

Date of the Actual Completion of the International Search :

04 December 1991

International Searching Authority :

ISA/US

Date of Mailing of this International Search Report :

24 JAN 1992

Signature of Authorized Officer : *Lee S. Cohen*
In Lee S. Cohen
NGOC-NO
INTERNATIONAL DIVISION

FURTHER INFORMATION CONTINUED FROM THE SECOND SHEET

Y	DE, A, 3,707,403 (KUBOTA) 17 September 1987 See entire document.	14/1,15,16/1, 17/1,22-24
A	DE, A, 2,930,982 (REIDENBACH) 05 February 1981 See entire document.	1

V ☐ OBSERVATIONS WHERE CERTAIN CLAIMS WERE FOUND UNSEARCHABLE¹

This international search report has not been established in respect of certain claims under Article 17(2) (a) for the following reasons:

- 1 ☐ Claim numbers _____ because they relate to subject matter not required to be searched by this Authority, namely:
- 2 ☐ Claim numbers _____ because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out. Specifically:
- 3 ☐ Claim numbers _____ because they are dependent claims not drafted in accordance with the second and third sentences of PCT Rule 6.4(a).

VI ☐ OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING²

This International Searching Authority found multiple inventions in this international application as follows:

- 1 ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all of the inventions of the international application.
- 2 ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers those claims of the international application for which fees were paid, specifically claims: _____
- 3 ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report does not cover the invention first mentioned in the claims; it is covered by claim numbers: _____
- 4 ☐ As all searchable claims could be searched without effort justifying an additional fee, the International Searching Authority invites payment of any additional fee.

Remarks on Protest

- ☐ The additional search fees were accompanied by applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.